

Appendix 1: Code of Conduct for Members - complaints and investigation monitoring information

Reference number	Date received by Monitoring Officer	Complainant	Elected/Co-opted Member(s)	Nature of Complaint and potential breach(es) of the Code of Conduct	Date and outcome of consultation with IP	Date investigation commenced and investigation status	Hearing and outcome	Current status	Follow up
001/2017	April 2017	Clear Up Project Board	Elected Member	Failure to declare interests and potential housing benefit irregularities.	N/A			Referred by internal auditors to DWP	
002/2017	April 2017	Clear Up Project Board	Elected Member	Alleged purchase of meals using Council budget without prior approval. Possible contravention of previous Code: 3.4 Disrepute. 3.5 (b) Improper use of Council resources.	20.07.17 agreed with IP letter to councillor and no further investigation but MO also to report to IDSC for view	N/A	N/A	IDSC 21.09.17 agreed with proposal for letter and no further investigation.	Letter sent 27.09.17 Closed
003/2017	April 2017	Clear Up Project Board	Elected Member	Alleged undue influence of audit failure to register interests. Possible contravention of previous Code: 3.4 Disrepute. 8.1 Failure to register interests.	20.07.17 agreed with IP letter to councillor and no further investigation but MO also to report to IDSC for view.	N/A	N/A	IDSC 21.09.17 agreed with proposal for letter and no further investigation.	Letter sent 27.09.17 Closed

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004/2017	3 July 2017	Member of Public	Elected Member	<p>Alleged inappropriate conduct at meeting between local resident and councillor after a fire in residential block. Possible contravention of the Code:</p> <p>2.2 Seeking to disadvantage complainant.</p> <p>2.9 Not promoting equality and not treating complainant with respect.</p>	<p>08.09.17 agreed with IP further preliminary enquiries required of potential witnesses.</p> <p>19.10.17 Further meeting with IP to discuss outcome of preliminary enquiries and agreed NFA.</p>	N/A	N/A	Closed	Closed NFA

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005/2017	13 July 2017	Poplar HARCA	Elected Members x2	<p>Alleged inappropriate conduct of councillors after fire in residential block. Possible contravention of the Code:</p> <p>2.9 Failure to treat local residents with respect.</p> <p>2.10 Failure to promote high standards by leadership and example.</p>	<p>IP potential conflict and withdrew from considering the complaint. Referred for investigation by MO following discussion with Deputy MO.</p>	<p>02.10.17 Invest-igation underway.</p> <p>Janet Fasan DD Legal Services investigator.</p>			

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006/2017	25 August 2017	Member of Public	Elected Member	Alleged inappropriate content and circulation of video. Potential contravention of the Code: 2.9 Failure to treat with respect. 2.10 Failure to promote high standards by leadership and example.	08.09.17 agreed with IP explore potential for local resolution of complaint.	N/A	N/A	Local resolution being pursued emails sent by MO to complainant 09.10.17 and 23.10.17. Further email and letter sent on 02.11.17. No replies.	Closed
007/2017	5 April 2017	Clear Up Project Board	Elected Member	Alleged failure to register interests in contravention of the current Code and the previous Code of Conduct.	06.12.17 agreed with IP letter to councillor and no further investigation but MO also to report to IDSC for view.			MO met with councillor on 03.10.17 for initial comments. Awaiting IDSC.	

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008/2017	8 September 2017	Local Business	Elected Member	<p>Alleged inappropriate conduct in communications with local business.</p> <p>Potential contravention of the Code:</p> <p>2.2 Seeking to disadvantage complainant and confer advantage on another business.</p> <p>2.7 Improper use of Council resource.</p>	<p>11.10.17 MO met with councillor and obtained initial comments on complaint</p> <p>19.10.17 MO agreed with IP to refer complaint for investigation.</p>	<p>16.11.17 Invest-igation underway.</p> <p>Legal Services Team Leader – Enforcement & Litigation investigator.</p>			

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009/2017	16 October 2017	Elected Member	Elected Member	Alleged inappropriate conduct and potential contravention of the Code: 2.9 Not promoting equality and not treating another with respect. 2.10 Failure to promote high standards by leadership and example.	19.10.17 MO agreed with IP that complainant should be required to complete conduct complaint form. Further meeting with IP arranged for 23.01.18.			19.10.17 Complainant submitted complaint form also requests anonymity. 08.11.17 and 08.12.17 MO email to complainant anonymity not appropriate and asking to confirm wants to proceed. 05.01.18 confirmed to proceed.	
Reference	Date received	Complainant	Elected/Co-	Nature of Complaint	Date and	Date	Hearing	Current	Follow

number	by Monitoring Officer		opted Member(s)	and potential breach(es) of the Code of Conduct	outcome of consultation with IP	investigation commenced and investigation status	and out-come	status	up
010/2017	13 November 2017	Elected Member	Elected Member	N/A conduct complaint form not submitted.	N/A conduct complaint form not submitted.			22.11.17 MO email acknowledged complaint and asked complainant to complete conduct complaint form. No reply. 08.12.17 MO email repeated request to complete form. No reply.	Closed NFA
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number	by Monitoring Officer		opted Member(s)	and potential breach(es) of the Code of Conduct	outcome of consultation with IP	investigation commenced and investigation status	and out-come	status	up
011/2017	20 November 2017	Member of Public	Elected Member	Inappropriate circulation of election leaflet. Potential contravention of the Code: 2.1 Lack of integrity 2.2 Not acting solely in the public interest 2.3 Not-operating fully and honestly with any scrutiny appropriate to the office of councillor.	06.12.17 MO and IP initial discussion awaiting initial response from councillor. Further meeting with IP arranged for 23.01.18.			MO met with councillor to obtain initial response to complaint on 05.01.18.	
012/2017	12 December 2017	Member of Public	Elected Member	Awaiting completed conduct complaint form.	Meeting with IP arranged for 23.01.18.			MO met with councillor to obtain initial response on 05.01.18.	
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013/2017	10 December 2017	Member of Public	Elected Member	Alleged inappropriate conduct at a local consultation meeting. Potential contravention of the Code: 2.2 Not acting solely in the public interest. 2.9 Not promoting equality and not treating complainant with respect. 2.10 Failure to promote high standards by leadership and example.	Meeting with IP arranged for 23.01.18.			MO met with councillor to obtain initial response to complaint on 05.01.18	

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014/2017	14 December 2017	Member of the Public	Elected Member	Alleged inappropriate conduct at a local consultation meeting. Potential contravention of the Code: 2.2 Not acting solely in the public interest. 2.9 Not promoting equality and not treating another with respect. 2.10 Failure to promote high standards by leadership and example.	Meeting with IP arranged for 23.01.18.			MO met with councillor to obtain initial response to complaint on 05.01.18	

						investigation status			
015/2017	23 December 2017	Member of the Public	Elected Member	Alleged inappropriate conduct at a local consultation meeting. Potential contravention of the Code: 2.2 Not acting solely in the public interest. 2.9 Not promoting equality and not treating another with respect. 2.10 Failure to promote high standards by leadership and example.	Meeting with IP arranged for 23.01.18.			MO met with councillor to obtain initial response to complaint on 05.01.18. Conduct complaint form not yet completed.	